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Case Docket No. ASMJP.104DV1 Date: September 17, 2004

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)

Akira SHIMIZU, et al.

Appl. No.

10/706,624

Filed

November 12, 2003

For

**METHOD FOR** 

SEMICONDUCTOR WAFER

**ETCHING** 

Examiner

Mark H. Paschall

Group Art Unit:

3742

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

September 17, 2004

(Date)

Katsuhiro Arai, Reg. No. 43,315

## TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement.
- (X) A PTO Form 1449 with Seven(ii) references.
- (X) A copy of the communication from the European Patent Office.
- (X) The Commissioner is hereby authorized to charge any fees which may be required to Account No. 11-1410.
- (X) Return prepaid postcard.

Katsuhiro Arai

Registration No. 43,315

Attorney of Record

Customer No. 20,995

(949) 760-0404

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Docket No.: ASMJP.104DV1

INFORMATION DISCLOSURE STATEMENT

SEP 2 0 2004 Applicant

Akira SHIMIZU, et al.

App. No.

10/706,624

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For

METHOD FOR SEMICONDUCTOR

WAFER ETCHING

Examiner

Mark H. Paschall

Group Art Unit

3742

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing seven(1) references that are also enclosed.

This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated:

September 17, 2004

By:

Katsuhiro Arai Registration No. 43,315 Attorney of Record

Customer No. 20,995

(949) 760-0404

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	FORM PTO-1		T OF COMMERCE ADEMARK OFFICE	ATTY. DOCKET NO. ASMJP.104DV1	APPLIC/ 10/706	ATION NO. i,624			
/	INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (USE SEVERAL SHEETS IF NECESSARY)  SFP 2 0 2004			APPLICANT Akira SHIMIZU, et al.					
				FILING DATE November 12, 2003	GROUP 1725	GROUP 1725			
Ł	RADEMARKO			U.S. PATENT DOCUMENTS					
	EXAMINER	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE		

PADEN	BE	U.S. FATERY DOCUMENTS					
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1.	4,804,431	02/14/89	Aaron RIBNER			
	2.	4,910,042	03/20/90	Jiri HOKYNAR			
-	3.	5,023,056	06/11/91	Monti E. AKLUFI, et al.			
	4.	5,489,362	02/06/96	Heinz STEINHARDT, et al.			
	5.	5,795,831	08/18/98	Izumi NAKAYAMA, et al.			
	6.	5,954,911	09/21/99	Eric J. BERGMAN, et al.			
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FOREIGN PATENT DOCUMENTS							
EXAMINER	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
INITIAL						YES	NO
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EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
	7. D.F. Weston, et al. HF vapor phase etching (HF/VPE): Production viability for semiconductor manufacturing and reaction model, J. Vac. Scie. Technol., 17(1) Jan./Feb. 1980, 1980 American Vacuum Society, pp. 466-468.

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EXAMINER	DATE CONSIDERED					
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.						